

## PRIVACY STATEMENT

### General

This privacy statement (the “Statement”) describes the policies and practices of Aequitas Innovations Inc. and its affiliates and subsidiaries, including Aequitas Neo Exchange Inc. and Aequitas Technology Services Inc. (collectively, “Aequitas”), regarding the collection, retention, use and disclosure of the information that Aequitas collects about you through use of this website (the “Site”). Aequitas may collect this information through your use of this Site, including when you subscribe to features, products and services that Aequitas offers or provides, when you register for seminars, programmes or other events, when you complete and submit forms made available through this Site, when you enter a promotion or contest, when you complete and submit a survey, when you email Aequitas with general enquiries, comments or suggestions, or otherwise use features, products and services made available on the Site.

Aequitas’ use of the information it collects will be limited to the purposes for which such information was collected.

Unless otherwise indicated herein, the terms and conditions governing your access and use of this Site (i.e., the Terms of Use), or the terms provided on a particular web page, none of the information collected by Aequitas is associated with a user as an individual.

Aequitas reserves the right to change this Statement at any time and from time to time without prior notice by posting a modified Statement on this Site.

**BY USING THIS SITE, YOU ACKNOWLEDGE THAT YOU HAVE READ, UNDERSTAND AND AGREE TO THIS STATEMENT AND THAT YOU ARE DEEMED TO HAVE GRANTED YOUR PERMISSION TO THE COLLECTION, USE AND DISCLOSURE OF INFORMATION (INCLUDING PERSONAL INFORMATION) FOR THE PURPOSES SET OUT HEREIN.**

### Collection, Use and Disclosure of Non-Personal Information

Aequitas collects information about identifiable individuals that is provided to it when an user voluntarily provides his or her name, address and any additional details the user chooses to provide electronically in any applications, e-mails or use of the “Contact Us” portions of this Site. Users do not have to give Aequitas personal information to visit this Site.

This Site does not record or collect any personal information when you visit this Site, move from page to page, conduct searches, submit information or communications, or download content.

Google Analytics™ is used to analyze traffic to this Site. Google Analytics™ does not maintain individual profiles for visitors, nor does it collect data that might identify the individual visitor. Google Analytics™ collects aggregate data only.

### Collection of Personal Information

Aequitas has implemented safeguards and security measures in an attempt to protect any personal information it collects and keep it in strictest confidence. However, due to the nature of data transmissions over the Internet and evolving technologies, Aequitas cannot provide assurances that any information, including personal information, it collects over the Internet will remain free from loss,

interception, misuse or alteration by third parties. Aequitas does not provide any representations, warranties or guarantees that personal information will be protected against loss, interception, misuse or alteration.

### **Retention of Information**

Aequitas retains the content of electronic submissions, applications, e-mails and other use of the “Contact Us” portions of this Site, including the e-mail address from which the transmission was sent and responses thereto in order to handle any follow-up.

Aequitas stores personal information in electronic databases or email boxes hosted by Aequitas or its third party service providers for periods of time and with safeguards, including firewalls, which it believes are reasonable in view of the nature of the information. Access to personal information is restricted in accordance with Aequitas’ security policies. All third party service providers retained by Aequitas are subject to contractual terms relating to information privacy and confidential information. As a general practice, and unless otherwise required by law, Aequitas will dispose of all information that it deems to be outdated.

### **Disclosure of Personal Information**

Aequitas will disclose your personal information to third parties only where: (i) you have consented to such disclosure; (ii) Aequitas provides the information to third party service providers who are subject to contractual provisions relating to information privacy and information confidentiality; (iii) Aequitas is required to do so by law, regulation or an order of a court or regulatory authority having jurisdiction.

Aequitas may send your personal information outside of Canada for the purposes set out herein, including for processing and storage by third party service providers. While such information is outside of Canada, it is subject to the laws of the jurisdiction in which it is held and may be subject to the governments, courts, law enforcement and regulatory authorities of such other jurisdiction pursuant to the laws of those jurisdictions.

### **Further Information**

If you wish to refuse or withdraw your consent to the collection, retention, use and disclosure of your information, or if you have any questions with respect to Aequitas’ privacy policies and practices, please contact Aequitas at [privacy@aequin.com](mailto:privacy@aequin.com).